

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

SEP 19 1974

FD-448 (Rev. 10-18-73)

TELETYPE

Asst. Dir.:	_____
Dep. A.D.-Inv.	_____
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

Transmit attached by Facsimile - PLAINTEXT

Priority IMMEDIATE

To: DIRECTOR, FBI

Date: 9/19/74

From: SAC, NY - (161-2961)

Time Transmitted -

Subject: Nelson Aldrich Rockefeller <sup>Initials -</sup>

- Fingerprint Photo
- Fingerprint Record
- Map
- Newspaper clipping
- Photograph
- Artists Conception

Report dated 9/19/74

Special handling instructions:

DELIVER IMMEDIATELY TO

[Redacted Box]

Room 1258

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b7c

Approved:

*John E. Warren - Sep. 18*

*J*  
2-10-10-10-10

REC-105

161-6197-388

RECORDED

JAN 2 1975

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 12-19-91 BY 9803 RDD/KSR

*[Handwritten Signature]*

58 JAN 14 1975

# FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>NEW YORK</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>9/19/74</b>	INVESTIGATIVE PERIOD <b>9/19/74</b>
TITLE OF CASE <b>NELSON ADRICH ROCKEFELLER</b>		REPORT MADE BY <b>JOHN E. WARREN</b>	TYPED BY <b>ES</b>
		CHARACTER OF CASE <b>SPI</b>	

REFERENCE

New York report of SA JOHN E. WARREN, dated 9/18/74.

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DATE 12-19-91 BY 9803 RDD/LSR

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	AGENCY TAGS	CASE HAS BEEN:
CONVIC.	FUG.	FINES	SAVINGS	RECOVERIES			
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED *[Signature]* SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

6 - Bureau (161-5197)  
1 - New York (161-2961)

*QCB*

Dissemination Record of Attached Report	Signature
Agency : <i>2-CC, AD, I-CC, H.A.G, I-CC, W. House</i>	<i>[Signature]</i>
Report Recd.	
Date Fwd.	<i>9-20-74</i>
How Fwd.	
By	<i>OJW</i>

ENCLOSURE 161-6197-388

NY 161-2961

ADMINISTRATIVE

On September 19, 1974, [redacted] Accounting and Taxes for 5500 Rockefeller Center, New York, New York, advised that following the dissolution of Literary Productions, Incorporated (LPI), it was his decision that any losses actually sustained by LAURENCE ROCKEFELLER would not be taken as a business loss in any way. No losses were noted and/or deducted from Mr. LAURENCE ROCKEFELLER's tax return for 1973, sustained in the LPI transactions. The funding of LPI was not considered a gift, as well. [redacted] [redacted] advised that to his knowledge NELSON A. ROCKEFELLER was not aware of the business transactions involved in LPI.

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- B\* -

COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:  
Date:

JOHN E. WARREN  
9/19/74

Office: New York, New York

Field Office File #:

161-2961

Source File #: 161-6197

Title:

NELSON ALDRICH ROCKEFELLER

Character:

SPECIAL INQUIRY

Synopsis:

J. RICHARDSON DILLON, Senior Financial Advisor, ROCKEFELLER Family, advised 9/19/74 that throughout entire transactions involving Literary Productions, Incorporated, he acted as an intermediary. He advised that checks in the amount of \$40,000 and \$25,000 were issued in connection with this corporation, and to his knowledge both checks issued from funds of LAURANCE ROCKEFELLER. [redacted] 5600 Rockefeller Center, made available file containing transactions involving VICTOR LEBBY'S bank and Literary Productions, Incorporated.

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ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED  
DATE 12-19-91 BY 9803 RDD/KSR

CWC:mmz

1.

NY 161-2961

On September 19, 1974, J. RICHARDSON DILWORTH; Senior Financial Advisor, Rockefeller Family, Room 5600, One Rockefeller Plaza, New York, New York, was interviewed at his place of employment by Special Agent [redacted]

Mr. DILWORTH advised that throughout the entire transactions involving Literary Productions, Incorporated (LPI), a corporation formed to produce and publish a book by VICTOR LASKY, he had acted as an <sup>intermediary</sup> intermediary, along with [redacted] another [redacted] Mr. DILWORTH advised that following the decision to establish LPI, he had secured an individual to act as a financial backer upon the advice and direction of [redacted] In so doing, he had contacted his now deceased uncle's law firm in Philadelphia, Pennsylvania, Dilworth, [redacted] and [redacted], and secured [redacted] in that firm, to act in the capacity of shareholder of record for LPI.

[redacted] in turn was sent two checks, the first in the amount of \$40,000 and the second in the amount of \$25,000 to enable him, [redacted] to purchase 600 shares, the total number of shares of LPI. He stated that upon the issuance of the first check of \$40,000 in July, 1970, he had advised [redacted] of his position as the nominee, purchaser of the shares, and the fact that [redacted] was to take all his directives from himself, J. RICHARDSON DILWORTH. He stated that to his knowledge both checks issued from the funds of LAURANCE ROCKEFELLER. He stated that a subsequent communication, date unknown, was sent to [redacted] advising him that he should also act pursuant to the directives of [redacted] and [redacted] both associated with the Rockefeller Family.

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NY 161-2961

*Plus!*  
The letter advised [redacted] that he was to send a check in the amount of \$35,000 in payment for 350 shares of LPI.

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Mr. DILWORTH advised that upon the issuance of the second check in the amount of \$25,000, [redacted] advised [redacted] that he was to remit this amount, in payment for 250 shares of LPI, bringing the total shares to 600.

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Following the dissolution of LPI, Mr. DILWORTH advised that [redacted] had requested directions on what to do with an outstanding balance left in the original account composed of money received in the amount of \$40,000 and \$25,000 checks. Eventually, the amount of approximately \$6800 was sent to him in a check, naming Mr. DILWORTH the payee. Mr. DILWORTH advised that subsequently this check was deposited to his personal account and an additional check in the same amount was made payable to the account of LAURANCE ROCKEFELLER, thereby transferring all remaining monies outstanding. *written by him*

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Mr. DILWORTH advised that to his knowledge NELSON ROCKEFELLER was not in any way involved in LPI, nor did he have knowledge of the funding or contents of the book.

Mr. DILWORTH advised that on the evening of September 18, 1974, he had contacted LAURANCE ROCKEFELLER, at which time LAURANCE ROCKEFELLER had advised him that he had "zero recollection of this matter".

CWC:mmz  
1.

NY 161-2961

On September 19, 1974, [redacted] for 5600 Rockefeller Center, New York, New York, advised that he had succeeded to [redacted] position in June, 1971. [redacted] made available a file concerning the transactions involving VICTOR LASKY's book and Literary Productions, Incorporated (LPI), which contained a number of memoranda.

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The following memoranda and their general contents as noted below, were contained in the portfolio:

A memorandum dated July 29, 1970, from J. RICHARDSON DILWORTH to [redacted] advising [redacted] of an enclosed check in the amount of \$40,000 and advising [redacted] that he was to be the nominee, shareholder of record of all the shares to be issued by LPI. The memorandum noted that [redacted] was to take all of his directives from J. RICHARDSON DILWORTH.

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A memorandum dated July 30, 1970, from [redacted] to [redacted] (of the Accounting Department) advising [redacted] to charge LAURANCE S. ROCKEFELLER's account for the amount of \$40,000 and to issue an official check for that amount, payable to [redacted].

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A memorandum dated August 28, 1970, from [redacted] again to the Accounting Department, advising them to charge the amount of \$25,000 to LAURANCE S. ROCKEFELLER's account and to issue an official check for that amount payable to [redacted].

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A memorandum dated July 31, 1970, from [redacted] to [redacted] noting the payment of \$35,000 for 350 shares of stock of LPI.

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CWC:smz  
2.

NY 161-2961

A memorandum dated July 30, 1970, from J. RICHARDSON DILLMORTH to [redacted] advising that [redacted] should also accept the directives of [redacted] and [redacted]

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A memorandum dated August 7, 1970, covering stock certificates, the Articles of Incorporation, noting their incorporation under a "1244 Plan" noting the director of the aforementioned corporation to be [redacted] and noting the hand delivery of the aforementioned certificates and articles.

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[redacted] advised that he was not familiar with [redacted]

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A memorandum dated August 5, 1971, from [redacted] of Rogers and Wells Law Firm, advising that they sought to discontinue the existence of the corporation and terminate all transactions thereof.

A memorandum dated February 2, 1972, whereby [redacted] advised that it could be possible that losses sustained in the LPI transactions could be a deductible item, not noting for whom.

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A memorandum dated May 9, 1973, from [redacted] to [redacted] advising him to send the balance of the amount, some \$6900, in a check payable to J. RICHARDSON DILLMORTH.

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A memorandum dated <sup>May 21</sup> ~~April 27~~, 1973, from [redacted] noting that the check in the amount of \$6827.26 had been sent to J. RICHARDSON DILLMORTH.

A memorandum dated June 21, 1973, noting that the amount of \$6827.26 had been credited to LAURANCE ROCKEFELLER'S account by check made payable to such account by J. RICHARDSON DILLMORTH. The conclusion of the memorandum noted a comment of [redacted] to the 5600, that "no attempt will be made to write off the balance from a tax standpoint"

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2.

NY 161-2961

A memorandum dated July 19, 1973, from [redacted] [redacted] that all losses sustained would be considered personal and non-deductible.

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[redacted] advised that to his knowledge all monies sent to [redacted] had come from the account of LAURANCE ROCKEFELLER. It was his belief [redacted] that Mr. NELSON A. ROCKEFELLER was not familiar with the exchange of monies, nor the purchase of the shares by [redacted] [redacted] or knew about any of the transactions involving LPL.

*add here*

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

## Copy to:

Report of: JOHN E. WARREN  
Date: 9/19/74

Office: New York, New York

Field Office File #: 161-2961

Bureau File #: 161-6197

Title: NELSON ALDRICH ROCKEFELLER

Character: SPECIAL INQUIRY

Synopsis: J. RICHARDSON DILWORTH, Senior Financial Advisor, ROCKEFELLER Family, advised 9/19/74, that throughout entire transactions involving Literary Productions, Incorporated, he acted as an intermediary. He stated that checks in the amount of \$40,000 and \$25,000 were issued in connection with this corporation, and to his knowledge both checks issued from funds of LAURANCE ROCKEFELLER. [REDACTED] 5600 Rockefeller Center, made available file containing transactions involving VICTOR LASKY'S book and Literary Productions, Incorporated.

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DATE 12-19-91 BY 9803 RDD/ICSR

CWC:mmz

1.

NY 161-2961

On September 19, 1974, J. RICHARDSON DILWORTH, Senior Financial Advisor, Rockefeller Family, Room 5600, One Rockefeller Plaza, New York, New York, was interviewed at his place of employment by Special Agent [REDACTED]

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Mr. DILWORTH advised that throughout the entire transactions involving Literary Productions, Incorporated (LPI), a corporation formed to produce and publish a book by VICTOR LASKY, he had acted as an intermediary, along with [REDACTED], another [REDACTED]. Mr. DILWORTH advised that following the decision to establish LPI, he had secured an individual to act as a financial backer upon the advice and direction of [REDACTED]. In so doing, he had contacted his now deceased uncle's law firm in Philadelphia, Pennsylvania, Dilworth, [REDACTED] and [REDACTED] and secured Mr. [REDACTED] a partner in that firm, to act in the capacity of shareholder of record for LPI.

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[REDACTED] in turn was sent two checks, the first in the amount of \$40,000 and the second in the amount of \$25,000 to enable him, [REDACTED] to purchase 600 shares, the total number of shares of LPI. He stated that upon the issuance of the first check of \$40,000 in July, 1970, he had advised [REDACTED] of his position as the nominee, purchaser of the shares, and the fact that [REDACTED] was to take all his directives from him, J. RICHARDSON DILWORTH. He stated that to his knowledge both checks were issued from the funds of LAURANCE ROCKEFELLER. He stated that a subsequent communication, date unknown, was sent to [REDACTED] advising him that he should also act pursuant to the directives of [REDACTED] and [REDACTED] both associated with the Rockefeller Family.

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CWC:mmz

2.

NY 161-2961

This letter advised [redacted] that he was to send a check in the amount of \$35,000 in payment for 350 shares of LPI.

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Mr. DILWORTH advised that upon the issuance of the second check in the amount of \$25,000, [redacted] advised [redacted] that he was to remit this amount, in payment for 250 shares of LPI, bringing the total shares to 600.

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Mr. DILWORTH advised that following the dissolution of LPI, [redacted] had requested directions on what to do with an outstanding balance left in the original account composed of money received in the amount of \$40,000 and \$25,000 checks. Eventually, the amount of approximately \$6800 was sent to him in a check, naming Mr. DILWORTH the payee. Mr. DILWORTH advised that subsequently this check was deposited to his personal account and an additional check written by him in the same amount was made payable to the account of LAURANCE ROCKEFELLER, thereby transferring all remaining monies outstanding.

Mr. DILWORTH advised that to his knowledge NELSON ROCKEFELLER was not in any way involved in LPI, nor did he have knowledge of the funding or contents of the book.

Mr. DILWORTH advised that on the evening of September 18, 1974, he had contacted LAURANCE ROCKEFELLER, at which time LAURANCE ROCKEFELLER had advised him that he had "zero recollection of this matter".

CWC:mmz

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NY 161-2961

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2.

NY 161-2961

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CWC:mmz

3.

NY 161-2961

A memorandum dated July 19, 1973, from [redacted] [redacted] that all losses sustained would be considered personal and non-deductible.

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[redacted] advised that to his knowledge all monies sent to [redacted] had come from the account of LAURANCE ROCKEFELLER. It was his, [redacted], belief that Mr. NELSON A. ROCKEFELLER was not familiar with the exchange of monies, nor the purchase of the shares by [redacted] [redacted] or knew about any of the transactions involving LPI.

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On September 19, 1974, [redacted] of Accounting and Taxes for 5600 Rockefeller Center, New York, New York, advised that following the dissolution of Literary Productions, Incorporated (LPI), it was his decision that any losses actually sustained by LAURANCE ROCKEFELLER would not be taken as a business loss in any way. No losses were noted and/or deducted from Mr. LAURANCE ROCKEFELLER's tax return for 1973, sustained in the LPI transactions. The funding of LPI was not considered a gift, as well. [redacted] [redacted] advised that to his knowledge NELSON A. ROCKEFELLER was not aware of the business transactions involved in LPI.

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